



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/772,893	02/04/2004	Pravin K. Narwankar	008209/USA/FEP/GCM/RKK

Mimi Diemmy Dao  
BLAKELY, SOKOLOFF, TAYLOR & ZAPMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025

COMPUTER ENTERED

JUN 09 2004

CONFIRMATION NO. 5371

FORMALITIES LETTER



\*OC000000012532402\*

Date Mailed: 05/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 3A-3E, 4A-4C, 5A-5C.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid

08/10/2004 MBIZUNES 00000102 501074 10772893

01 FC:1051 130.00 DA

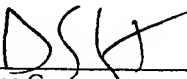
further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to:   Mail Stop Missing Parts  
  Commissioner for Patents  
  P.O. Box 1450  
  Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#1 FW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pravin K NARWANKAR, et al

Assignee: APPLIED MATERIALS, INC.

Title: TAILORING NITROGEN PROFILE IN SILICON OXYNITRIDE USING  
RAPID THERMAL ANNEALING WITH AMMONIA UNDER ULTRA-LOW PRESSURE

Serial No.: 10/772,893

Confirmation Number Assignment: 5371

Filed: 02/04/2004

Group Art Unit: Unknown

Attorney Docket No.: 008209

Examiner: Unknown

Santa Clara, California  
August 3, 2004

Mail Stop Missing Parts  
COMMISSIONER OF PATENTS AND TRADEMARKS  
P. O. Box 1450  
Alexandria, VA 22313-1450  
Attention: Manager  
Applications Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on May 5, 2004, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (8 pages)
2. Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 (8 sheets);
3. A copy of the Notice to File Missing Parts of Application - Filing Date Granted

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account 50-1074;

1. Filing Fee - Original Patent Application (37 CFR 1.16(a))

2. Fees for claims

☐ each independent claim in excess of 3 (37 CFR 1.16(f))

☐ each claim in excess of 20 (37 CFR 1.16(c))

☐ each multiple dependent claim (37 CFR 1.16(d))

☒ Late Payment of filing fee or late filing of original declaration  
or oath - (37 CFR 1.16(e))

**\$ 130.00**

3. Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

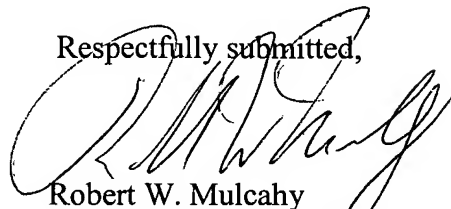
Extension (Months)	Fee for other than Small Entity	
<u>X</u> One Month	\$ 110.00	\$ 110.00
Two Months	\$ 410.00	
Three Months	\$ 930.00	
Four Months	\$1,450.00	
Total Completion Fees		\$ 240.00

If an additional extension of time is required please consider this a petition therefor.

4. The Commissioner is hereby authorized to charge **\$240.00** and any additional fees which may be required, or credit any overpayment, to Deposit Account No.50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of 02/04/2004. Please telephone the undersigned at (408) 563-2726, if there are any questions regarding this matter.

Respectfully submitted,



Robert W. Mulcahy  
Registration. No. 25,436

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts Commissioner of Patents and Trademarks, P. O. Box 1450, Alexandria, VA 22313-1450 on 8/4/04 Signature: Jo Cottrell

10/772,893